

08/16/00
JC903 U.S. PTO

8-18-00

PTO/SB/17 (6/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1>FEE TRANSMITTAL</h1> <h2>for FY 1999</h2> <p>Patent fees are <i>subject to</i> annual revision. Small <i>Entity</i> payments must be supported by a small entity statement, otherwise large entity fees must be paid. See <i>Forms</i> PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p>		Complete if Known	
		Application Number	
		Filing Date	August 16, 2000
		First Named Inventor	William R. Kanz et al.
		Examiner Name	Patricia Bianco
		Group /Art Unit	3762
TOTAL AMOUNT OF PAYMENT		(\$ 345)	
		Attorney Docket No.	PA036

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																											
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 50-1026 Deposit Account Name: A-Med Systems, Inc. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37CFR§§ 1.16 and 1.17		3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$)																																																											
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		<table border="1"> <thead> <tr> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105 130 205 65 Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127 50 227 25 Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139 130 139 130 Non-English specification</td><td></td></tr> <tr><td>147 2,520 147 2,520 For filing a request for reexamination</td><td></td></tr> <tr><td>112 920* 112 920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>11 3 1,840* 11 3 1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115 110 215 55 Extension for reply within first month</td><td></td></tr> <tr><td>116 380 216 190 Extension for reply within second month</td><td></td></tr> <tr><td>117 870 217 435 Extension for reply within third month</td><td></td></tr> <tr><td>118 1,360 218 680 Extension for reply within fourth month</td><td></td></tr> <tr><td>128 1,850 228 925 Extension for reply within fifth month</td><td></td></tr> <tr><td>119 300 219 150 Notice of Appeal</td><td></td></tr> <tr><td>120 300220 150 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121 260 221 130 Request for oral hearing</td><td></td></tr> <tr><td>138 1,510 1381,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140 110 240 55 Petition to revive - unavoidable .</td><td></td></tr> <tr><td>141 1,210 241 605 Petition to revive - unintentional</td><td></td></tr> <tr><td>142 1,210 242 605 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143 430 243 215 Design issue fee</td><td></td></tr> <tr><td>144 580 244 290 Plant issue fee</td><td></td></tr> <tr><td>122 130 122 130 Petitions to the Commissioner</td><td></td></tr> <tr><td>123 50 123 50 Petitions related to provisional applications</td><td></td></tr> <tr><td>126 240 126 240 Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581 40 581 40 Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146 760 246 380 Filing a submission after final rejection (37 C.F.R § 1.129(a))</td><td></td></tr> <tr><td>149 760 249 380 For each additional invention to be examined (37 C.F.R § 1.129(b))</td><td></td></tr> <tr><td>Other fee (specify) _____</td><td></td></tr> <tr><td>Other fee (specify) _____</td><td></td></tr> </tbody> </table>		Fee Description	Fee Paid	105 130 205 65 Surcharge - late filing fee or oath		127 50 227 25 Surcharge - late provisional filing fee or cover sheet.		139 130 139 130 Non-English specification		147 2,520 147 2,520 For filing a request for reexamination		112 920* 112 920* Requesting publication of SIR prior to Examiner action		11 3 1,840* 11 3 1,840* Requesting publication of SIR after Examiner action		115 110 215 55 Extension for reply within first month		116 380 216 190 Extension for reply within second month		117 870 217 435 Extension for reply within third month		118 1,360 218 680 Extension for reply within fourth month		128 1,850 228 925 Extension for reply within fifth month		119 300 219 150 Notice of Appeal		120 300220 150 Filing a brief in support of an appeal		121 260 221 130 Request for oral hearing		138 1,510 1381,510 Petition to institute a public use proceeding		140 110 240 55 Petition to revive - unavoidable .		141 1,210 241 605 Petition to revive - unintentional		142 1,210 242 605 Utility issue fee (or reissue)		143 430 243 215 Design issue fee		144 580 244 290 Plant issue fee		122 130 122 130 Petitions to the Commissioner		123 50 123 50 Petitions related to provisional applications		126 240 126 240 Submission of Information Disclosure Stmt		581 40 581 40 Recording each patent assignment per property (times number of properties)		146 760 246 380 Filing a submission after final rejection (37 C.F.R § 1.129(a))		149 760 249 380 For each additional invention to be examined (37 C.F.R § 1.129(b))		Other fee (specify) _____		Other fee (specify) _____	
Fee Description	Fee Paid																																																												
105 130 205 65 Surcharge - late filing fee or oath																																																													
127 50 227 25 Surcharge - late provisional filing fee or cover sheet.																																																													
139 130 139 130 Non-English specification																																																													
147 2,520 147 2,520 For filing a request for reexamination																																																													
112 920* 112 920* Requesting publication of SIR prior to Examiner action																																																													
11 3 1,840* 11 3 1,840* Requesting publication of SIR after Examiner action																																																													
115 110 215 55 Extension for reply within first month																																																													
116 380 216 190 Extension for reply within second month																																																													
117 870 217 435 Extension for reply within third month																																																													
118 1,360 218 680 Extension for reply within fourth month																																																													
128 1,850 228 925 Extension for reply within fifth month																																																													
119 300 219 150 Notice of Appeal																																																													
120 300220 150 Filing a brief in support of an appeal																																																													
121 260 221 130 Request for oral hearing																																																													
138 1,510 1381,510 Petition to institute a public use proceeding																																																													
140 110 240 55 Petition to revive - unavoidable .																																																													
141 1,210 241 605 Petition to revive - unintentional																																																													
142 1,210 242 605 Utility issue fee (or reissue)																																																													
143 430 243 215 Design issue fee																																																													
144 580 244 290 Plant issue fee																																																													
122 130 122 130 Petitions to the Commissioner																																																													
123 50 123 50 Petitions related to provisional applications																																																													
126 240 126 240 Submission of Information Disclosure Stmt																																																													
581 40 581 40 Recording each patent assignment per property (times number of properties)																																																													
146 760 246 380 Filing a submission after final rejection (37 C.F.R § 1.129(a))																																																													
149 760 249 380 For each additional invention to be examined (37 C.F.R § 1.129(b))																																																													
Other fee (specify) _____																																																													
Other fee (specify) _____																																																													
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$)																																																													
101 760 201 380 Utility filing fee 106 310 206 155 Design filing fee 107 480 207 240 Plant filing fee 108 760 208 380 Reissue filing fee 114 150 214 75 Provisional filing fee		Fee Paid \$345 																																																											
SUBTOTAL (1) (\$ 345)																																																													
2. EXTRA CLAIM FEES Extra Claims Total Claims 17 -20** = -3 x Fee from below -0- Fee Paid 0.00 Independent Claims 3 - 3** = 0 x Fee from below 9 Fee Paid 0.00 Multiple Dependent 0																																																													
or number previously paid, if greater; For Reissues, see below Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$)																																																													
103 18 203 9 Claims in excess of 20 102 78 202 39 Independent claims in excess of 3 104 260 204 130 Multiple dependent claim, if not paid 109 78 209 39 ** Reissue independent claims over original patent 110 18 210 9 ** Reissue claims in excess of 20 and over original patent																																																													
SUBTOTAL (2) (\$ 0.00)																																																													
		- Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0.00)																																																											

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Jonathan Spangler, Esq.	Registration No. (Attorney/Agent)	40,182
Signature		Telephone	(916) 375-7400, Ext. 301
		Date	August 16, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

8-18-00

A

Please type a plus sign (+) inside this box

PTO/SB/05 (4/98)
 Approved for use through 09/30/2000. OMB 0651-0032
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)

Attorney Docket No. PA036

First Inventor or Application Identifier William Kanz

Title Supplemental Port for Catheter Perfusion of Surgical Site

Express Mail Label No. EL514049135US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
 (Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 19]
 (preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35U.S.C. 113) [Total Sheets 4]
4. Oath or Declaration [Total Pages 23]
 a. ☒ Newly executed (original or copy)
 b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
 (for continuation/divisional with Box 16 completed)
 1. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting
 inventor(s) named in the prior application,
 see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
 (if applicable, all necessary)
 a. ☐ Computer Readable Copy
 b. ☐ Paper Copy (identical to computer copy)
 c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney
 (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
 • Small Entity ☒ Statement filed in prior application,
 Status still proper and desired
13. ☐ Statement(s) (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
15. ☐ Other:

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
 FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT
 IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment-

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09, 313,268

Prior application Information - Examiner Patricia Bianco

Group I Art Unit- 3762

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(Insert Customer No. or Bar Code Label)
23710

or ☐ Correspondence address below

Name

Jonathan Spangler, Esq.

PATENT TRADEMARK OFFICE

Address

A-Med Systems, Inc.

2491 Boatman Avenue

City

West Sacramento

State

California

Zip Code

95691

Country

US

Telephone

(916) 375-7400, Ext. 301

Fax

(916) 375-7444

Name (Print/Type)

Jonathan Spangler, Esq.

Registration No (Attorney/Agent)

40,182

Signature

Date

August 16, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.